

Gateway number: 08479

Provider Assurance Framework for Commissioning of Level 2 Complexity Services

Facilities & Equipment

July 2018



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Equality and Health Inequalities Statement

Promoting equality and addressing health inequalities are at the heart of NHS England's values. Throughout the development of the policies and processes cited in this document, we have:

- Given due regard to the need to eliminate discrimination, harassment and victimisation, to advance equality of opportunity, and to foster good relations between people who share a relevant protected characteristic (as cited under the Equality Act 2010) and those who do not share it; and
- Given regard to the need to reduce inequalities between patients in access to, and outcomes from healthcare services and to ensure services are provided in an integrated way where this might reduce health inequalities.

Introduction

The Provider Assurance Framework is a guidance document aimed for the use of commissioners, looking to assist when contracting for dental specialties. Introductory Guide for Commissioning Dental Specialties published in September 2015 defines eligibility and complexity levels of care, together with contract and relevant specifications for such services - Introductory Guide for Commissioning Dental Specialties and as such Assurance Framework for Commissioning of Level 2 complexity services - Facilities & Equipment is to be used together with the Introductory Guide for Commissioning Dental Specialties in the procurement of Level 2 Providers.

The intent of Provider Assurance framework is to assist the commissioners in ensuring that Provider premises are fit for purpose in terms of equipment and facilities only, and, as detailed above, other areas of assurance required for procurement need to be considered by NHS England commissioners. This information can be found by accessing the following link: Introductory Guide for Commissioning Dental Specialties.

This document consists of three sections:

Section 1 - Template Provider details and self-declaration form (Form 1) is to be completed by prospective providers at the PQQ/ITT stages.

Section 2 – This is a list of suggested equipment and facilities that will be inspected at practice visits during mobilisation period, post awarding of contracts. This should be included in the service specifications and sent to prospective providers as part of the procurement process.

Section 3 - Inspection forms, including details of the inspection and checklist, which will be used during mobilisation period and practice visits. Providers will be supplied with inspection checklist once they have been identified as successful bidders.

Section 1

Form 1 - Provider Declarations for XX Service (Area Team to provide details here) Dental Provider Details

Provider Name	
Contact Address	
Tel. Number	
E-mail Address	
Current Contract Number	
Signed:	Date:
Print Name:	
The application must be signed by the serv	rice provider.
Data Protection:	
I confirm that I have been provided with inform data will be processed. In addition, when actin that I have complied with the obligations that a limited to when providing personal data about individuals.	g as a data controller, I confirm upply to me, including but not

Requirements of the Service – Declarations at PQQ/ITT Stages

Important note: 'YES' in declarations will be verified during the Provider Inspection visits.

Compliance by the mobilisation date is necessary, failure of which will lead to the withdrawal of preferred bidder status.

	Yes	No	Compliance to be ensured prior to practice visit
Is the provider CQC registered/compliant?			Mandatory at PQQ stage
Please paste a link to your most recent report (where available)			-
Are you compliant with the requirements in Sections 2.1 or 2.2 (as appropriate to the service provided?			
Are you compliant with requirements in Section 3.2?			
PROTOCOLS PRESENT	Yes	No	Compliance

PROTOCOLS PRESENT	Yes	No	Compliance to be ensured prior to
			practice visit
Patient consent is obtained			
Medical history is maintained and updated			
Pre- and post- operative instructions are given for treatment provided			
Reception is staffed while specialist services are provided			
Complaints are recorded and processed efficiently			

Declaration

I understand that any contract, if offered, will be subject to the information on this form being correct,
and I can confirm that no valid information has been willfully withheld. I understand that any provider
making negative declarations during PQQ/ITT stage and or failing a verification inspection could be
subject to having contracts withdrawn.

Signature:	
Print Name:	
Date: / / 20	

Please submit your application to:

Area team to complete

Section 2: Requirements for Practice Visits

Practice visits will be operated by Dental Practice Advisor and an appropriate member from a commissioning team.

2.1 Requirements of an Oral Surgery Service

The surgical equipment and initial requirements are indicative of what should be available to provide the service.

This can be modified (directed by the local MCN, if appropriate) to reflect the complexity and volume of activity.

1 – GENERAL REQUIREMENTS (Please indicate whether standards are met)	Standards met:
 Access to appropriate diagnostic imaging Single patient use water lines for Level 2 service provision 	YES / NO YES / NO
Are you planning to provide conscious sedation?	YES / NO
If yes, are you compliant with the minimum requirements of Commissioning Dental Services: Service standards for Conscious Sedation in a primary care setting: https://www.england.nhs.uk/wp-content/uploads/2017/06/dental-commissioning-guide-service-standards-conscious-sedation-2.pdf	YES / NO
2 – Surgical Safety Checklist/Standard Operating Procedures (SOP)	YES / NO
3 – SURGICAL EQUIPMENT AND INSTRUMENT REQUIREMENTS (Please confirm whether standard is met)	Standards met:
Minimum of two appropriate surgical kits are available.	YES / NO
For example: Surgical hand pieces and appropriate numbers of Oral Surgery instruments/equipment Warwick James (left, right and straight) Couplands (1, 2 and 3) Cryers (left and right) Upper root forceps Lower root forceps Luxators Scalpel Periosteal elevator Retractor Tooth dissecting forceps Needle holder Scissors	

 Appropriate sutures Surgical suction tips (sterile single use or sterile reusable) Gauze squares/swabs Haemostatic adjuncts/WHP/BIPP Biopsy specimen containers for transportation YES / NO YES / NO YES / NO	nt delivery system end preparation for surgical MENTS ailable) Standards met
 Ribbon gauze Coe-Pak Local anaesthetic cartridges and needles YES / NO YES / NO YES / NO	single use or sterile reusable) SIPP for transportation YES / NO
5 – STAFFING LEVELS (Please confirm whether standards are met) • The dental nurses are suitably trained and experienced in YES / NO	are met) met

2.2 Requirements of the Endodontics Service

The surgical equipment and initial requirements are indicative of what should be available to provide the service.

This can be modified (directed by the local MCN, if appropriate) to reflect the complexity and volume of activity.

SURGICAL EQUIPMENT AND INSTRUMENT REQUIREMENTS (Please confirm whether standard is met)	Standards met:
Rubber Dam and appropriate frame	YES / NO
Appropriate use of magnification	YES / NO
Single use protocol for all endodontic files	YES / NO
Rotary system and appropriate motor hand piece	YES / NO
Hand files with matched GP obturation system	YES / NO
Ultra-sonic system	YES / NO
Endodontic access burs	YES / NO
Endodontic explorers/probes	YES / NO
Safe End Endodontic irrigating syringes	YES / NO
	YES / NO
Collimated radiography and Endo film holders	YES / NO
Third generation Apex Locator	
Thermal obturation system (excluding Obtura),	YES / NO
Electric pulp tester	YES / NO
Endo Ice or equivalent	YES / NO
Sodium hypochlorite irrigant	YES / NO
Povidone iodine/chlorhexidine	YES / NO
Chloroform/GP solvent	YES / NO
	YES / NO
EDTA irrigant solution Intra canal calcium hydroxide	YES / NO
Post retrieval system	YES / NO

Some operators may use variants of the above as long as there is the appropriate equipment and instruments to carry out any procedure competently	
DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available)	Standards met
Local anaesthetic cartridges and needles	YES / NO
Paper towels	YES / NO
Gloves and masksGauze squares/swabsBlunt ended needles for irrigation	YES / NO YES / NO YES / NO
STAFFING LEVELS (Please confirm whether standards are met)	Standards met
The dental nurses are suitably trained and experienced in assisting the surgeon undertaking Endodontic treatment	YES / NO

2.3 Requirement of Periodontal Service

The surgical equipment and initial requirements are **indicative** of what should be available to provide the service it is not prescriptive.

This list can be modified (directed by the local MCN, if appropriate) to reflect the complexity and volume of activity.

1 – SURGICAL EQUIPMENT AND INSTRUMENT REQUIREMENTS (Please confirm whether standard is met)	STANDARD MET
Appropriate number of periodontal kits (min of 2)	YES/NO
Front surface mirrors	YES / NO
UNC 15 periodontal probes	YES / NO
Nabers probes or equivalent	YES / NO
BPE/WHO C probe	YES / NO
Ultrasonic scaler – Magnetostrictive or Piezon	YES / NO
Ultrasonic debriment tips	YES / NO
 Cavitron Slimline FSI straight, left and right (or equivalent for Piezo equipment) 	YES / NO
Scaling instruments e.g.:	YES / NO

H6/H7 sickle scaler	
 Universal curette (e.g. Columbia curette) Double ended excavator 	
 Gracey site specific instruments 	
g Craise, and specime menuments	
Implant scaling instruments (according to MCN policy)	YES / NO
Periodontal Surgical Instruments:	YES / NO
Rhodes Back Anterior Chisel, 8mm	
Sugarman File, 8mm	
 Surgical Scissors, 150mm 	
Scalpel Handle, 145mm Periosteel Fleveter, Melt or Buser	
Periosteal Elavator, Molt or Buser Two stars, round, 190mm.	
Tweezers, round, 180mm Castrovisia Migraphyriael Needle Helders or	
Castroviejo Microsurgical Needle Holders or	
equivalent Double-ended flat plastic spatula	
Double-ended flat plastic spatula	
Handpieces: Air-rotor, slow contra-angle	YES / NO
Additional equipment for consideration:	
Air-Flow Plus/Air-Slow Perio handpieces (or air-	YES / NO
polishing equivalent)	
7 3 1 ,	
Some operators may use variants of the above as long as there is the	e appropriate
equipment and instruments to carry out any procedure competently	
2 – DISPOSABLE ITEM REQUIREMENTS	
2 – DISPOSABLE ITEM REQUIREMENTS	YES / NO
2 – DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles	0 ,
2 – DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available)	YES / NO YES / NO
2 – DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles	0 ,
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy)	YES / NO YES / NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy)	YES / NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks	YES / NO YES / NO YES / NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels	YES / NO YES / NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips	YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks	YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips	YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips • Sterile gauze squares/swabs • Optional Periodontal dressing (e.g. CoePak)	YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips • Sterile gauze squares/swabs	YES/NO YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips • Sterile gauze squares/swabs • Optional Periodontal dressing (e.g. CoePak)	YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips • Sterile gauze squares/swabs • Optional Periodontal dressing (e.g. CoePak) • Pathology pots utilising 10% formal saline	YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips • Sterile gauze squares/swabs • Optional Periodontal dressing (e.g. CoePak) • Pathology pots utilising 10% formal saline 3 - STAFFING LEVELS	YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips • Sterile gauze squares/swabs • Optional Periodontal dressing (e.g. CoePak) • Pathology pots utilising 10% formal saline 3 - STAFFING LEVELS (Please confirm whether standards are met) • Dental nurses are suitably trained and experiences in	YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO
2 - DISPOSABLE ITEM REQUIREMENTS (Please confirm items below are available) • Local anaesthetic cartridges and needles • Appropriate sutures (according to local MCN policy) • Paper towels • Gloves and masks • Surgical suction tips • Sterile gauze squares/swabs • Optional Periodontal dressing (e.g. CoePak) • Pathology pots utilising 10% formal saline 3 - STAFFING LEVELS (Please confirm whether standards are met)	YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO YES/NO

assistant and a non-surgical assistant (runner).	

Section 3	
3.1 General Requirements for Prov	viders - Inspection Form -
not for submission	
Provider Inspection	
Inspection requested	
by:	
Date of inspection	_
Inspection team: Name(s)	Designation
Provider details	
Provider(s) name(s):	
Present: Yes/No	
Additional services: Orthodontic/ Se	dation/ Domiciliary
Advanced Mandatory services: Oral	Surgery/ Endo
Provider address:	
Post code: To	el No:

3.2 General Premises, Facilities and Staffing Requirements

Part A: Premises

External access:	Yes satisfactory	No unsatisfactory	Ref		
External access.	Janoi a di Ciri	unounorable, y	EA		
Equality Act				Recommended	
Steps			EA	See recommendatio	
Ramp/lift				of Equality Act 201	
Handrails				Access Audit	
Suitable for wheelchair					
Signs			GP	Recommended	
Names ad qualifications for all				Recommended	
performers					
Opening hours					
Emergency numbers					

Part B: Provider Staff

	Performers								
		Performer	Clinical	GDC Cert	Indemnity	Hepatitis	CPR	DBS	Comments
Nar	me	no.	sessions worked per week	GDS Regs & G Mandatory	Cert. GDS Reg	B Docs. DH Mandatory	Certs G Mandatory	If PCT/LHB policy	
1				Mandatory	Mandatory		,		
2									
3									
4									
5									
6									
7									
8									
9									
10									

Part B: Provider Staff (ctd)

G Mandatory GDS Regs Mandatory Mandatory Mandatory Mandatory Mandatory Mandatory Policy	Comments	DBS check	Nurse in training	CPR Cert	Hepatitis B Docs	Indemnity Cert.	GDC Cert	FT/PT	Name	
Mandatory Mandatory Mandatory Office/LH B policy		If NHS		G	DH		G			
Mandatory policy				Mandatory	Mandatory	GDS Regs				
						Mandatory				
lygienists										Hygienists
Therapists										Therapists

Nurses							
First Aider		l	I	I		I	
Appointed person for provider				Mandator	у		
with less than 50 staff							

Part B: Provider Staff (ctd)

	Names	G Mandatory	DBS Check If NHS E Local Office /LHB	Comments
			policy	
Manager/Administrator				
Receptionist(s)				

Part C: Internal Premises

	Yes/	No/	Ref	Observations
	Satisfactory	Unsatisfactory		
Internal arrangements:				Recommended in line with Equality Act 2010
Doorway(s) for wheelchair access				
			EA	
Toilet access for wheelchair				
(for employees)				
General conditions:				
Lighting (incl. emergency)				Mandatory
Heating (with thermometer)			W	
Ventilation				
Cleanliness / Tidiness				
Signs (with pictograms)				
Reception: Size (11m³) / staff member			W & OSRPA	Recommended
Telephone lines				
				Recommended
Internal communications			GP & G	
System to advise undue delay				

Privacy available for:		
Confidential phone calls		
Confidential		
conversations		
Provider IT:		
Computer facilities		Mandatory
	DP	A L We
		Ability to accept electronic referrals
NHS net account		Sideline releviate
Models	GDS	Mandatory
	Regs	
Waiting Room:	& DP	Recommended
	W	recommended
Appropriate size for	&	
number of surgeries	OSRP	
Staff room	A	Mandatory
	W	-
Toilets: (No.=)		Mandatory for staff but not visitors
Signposted		
Cleanliness	W	
Hand washing/drying		
Sanitary disposal		
Dangerous substances	С	
Waste storage (non-domestic)		See Section F4
Fire Equipment:		Mandatory
Exits signed;	FP	
instructions displayed		

Part C: Internal Premises (ctd)

Fire Extinguishers:	Туре	Mainte	nance	
Location		Label		
		Yes	No	

Part D: Documents

Part D1: Documents on display

	Yes	No	Ref	Observations
Complaints Poster			GD	Mandatory
Leaflets available			S	
	4		Reg	
Name of complaints officer	Mand	atory	5	
Employer's / Public Liability			GD	Mandatory
Incurance Cartificate(a)	1		S	
Insurance Certificate(s)			Reg	
			S	
Health and Safety Poster (or documentation that leaflets given to staff)			Н	Mandatory
Information Leaflets				Mandatory
Available for current / prospective patients. Information available to patients in waiting area			GD S Reg s	
No Smoking Signs displayed			S	Mandatory

Part D2 relates to written policy documents. By definition, a policy is a course or principle of action adopted by an organisation or individual. Ideally, all the documents in this section should be in a single file so any member of staff can easily access the file to determine the policy for a particular situation as detailed below.

D2: Written Policy Documents

	Yes	No	Ref	Observations
Audit & Peer Review			G	Mandatory under local PCT/LHB arrangements
Safeguarding			SG	Recommended
Complaints Procedures (maintain records)			GDS Regs	Mandatory
Cross Infection Control			DH	Mandatory
General Data Protection Regulation (patients) Data Security Policy (staff)			GDPR	Mandatory
Equality Act 2010			EA	Mandatory
Display Screen Equipment			DSE	Mandatory
Emergency collapse			G	Mandatory

Part D2: Written Policy Documents (ctd)

	Yes	No	Ref	Observations
Employment policies			DTI	Recommended
Fire Precautions policy				Recommended
			FP	
Health & Safety policy:				Mandatory if more than 5 staff
Manual Handling Operations			МНО	
Personal Protective Equipment			PPE	
Provision & Use of Work				
Equipment				
Risk assessments in relation to			М	
Health and Safety in workplace				
Inoculation Injury			DH	Mandatory
Medical History taking			DH	Mandatory
Handling of specimens for histological or other pathological examination				Relating to: Health and safety issues Sample identification Transportation and/ delivery Receiving reports Advising patients of results Staff training
Out of Hours Dental Services			GDS Regs	Mandatory
Patients privacy, dignity & confidentiality Nominated person			G	Mandatory
Radiation Protection:				See Section F1
Staff training			GP	Recommended
Water Storage and Disposal				See Section F4
Whistle blowing policy			PIDA	Mandatory
Named person				,

Part D3: Other documents

	Yes	No	Ref	Observations
Accident book (RIDDOR or Datix recording):			R	Mandatory
Incl. HSE address, tel. no, protocol			K	
Autoclave:				Mandatory
Scheme of maintenance				
2. Inspection certificate			PV	
(maximum interval 14				
months)				
Complaints records			GDS Regs	Mandatory
Compressor:				Mandatory If larger than 250 bar/litres
Scheme of maintenance				bar/litres
2. Inspection certification			PV	
(maximum interval 26				
months)				
Consumer Credit License				Mandatory
(for more than 4 installments)			CC	
COSHH: Assessments			С	Whilst a COSHH assessment is required for all providers this only has to be written if there are more than 5 staff
General Data Protection Regulation: Registration			GDPR	Mandatory
Employment contracts:				Mandatory
Terms & conditions, staff duties			DTI	
Electrical:			Е	Mandatory for new installations

Installed equipment test certificates			
Certificates			
Portable appliance schedule			Mandatory
and inspection documents		E	
Gas Maintenance	(SS	
Documentation If mains gas		&	Mandaton
appliances used on premises	U	se	Mandatory
	Re	egs	
Fire Equipment:			Mandatory
Maintenance contract	F	Р	
Iviali iteriarice contract			
Freedom of Information Act:			Mandatory
Compliance document	F	ΊA	
Compliance document			
Laboratory registration:			Mandatory
Evidence that the laboratory	M	DR	
used is registered with MHRA			
Laser equipment: Registration			Mandatory for Class IV lasers
	C	SA	
		0 , (
Performing Rights: License			Mandatory if music etc., is played.
for broadcasted music		Р	
Radiological Protection:			See Section F1
Risk Assessment:			Mandatory if more than 5 employees
Documents		Н	
Documents			
Waste:			See Section F4

Part E: Staff training and Education

		Yes	No	Ref	Observations
Clinical Governance Arrangements and				GDS regs	Mandatory
Quality Assurance System Name of nominated per		Mand	atory		
for the above	5011(5)	Iviariu	atory		
CPD records for all	1.				
performers					
	2.				
Mandatory	3.			-	
	4.			_	
	5.				
	6.			G	
	7.				
	8.			-	
	9.			_	
	10.			_	
Ionising radiation train records	ning				See Section F1
Staff training records:				GD	Mandatory
Regular Staff meeting	s,			S Reg	
Evidence of learning fro	m			S	
meetings				& M	
Taninin a in a second				'''	
Training in never-events					
Reporting of near misse significant events	is allu				
Induction programme	<u> </u>			GDS	Mandatory
All permanent staff				Regs &	
				WE	
Orientation programm All temporary staff	ie:			GDS	Mandatory
All fortipolary Stall				Reg	
				S&W	
				E	

Part F: Radiology and Clinical facilities external to performer's surgery

Part F1: Radiographic Equipment/ Documentation

	Yes/	No/	Ref	Observatio
	Satisfactory	Unsatisfactory		ns
Radiological Protection:				Mandatory
Notification letter to HSE			I	
Radiation Protection file:				Mandatory
Local rules				
1. Appointments:				
Name of Radiation Protection Supervisor				
Name of Radiation Protection Adviser			I	
2. Identification and demarcation of controlled area				
. 3. Working instructions for patient protection				
Equipment malfunction contingency plan				
Justification Processing and				
filing Clinical evaluation				
4. Dose investigation level				
(employee exposures) to				
determine whether personal				
monitoring is required				
Radiation Equipment check certification			ı	Mandatory
Critical examination report				
Routine maintenance report				

Quality assurance systems:		I	Mandatory
Image quality		GD	
		S Reg	
Detient des en de minus et		S	Manufatan
Patient dose and equipment:		_	Mandatory
Maintenance and testing		I	
Processing:			
If automatic:			Mandatory
Logbook			
If manual: Safety			
light Heater tanks		I	
Thermometer			
Timer			
Logbook			
Ionising radiation training			Mandatory
records and updates for all appropriate staff		ı	
including dentists		'	
Fytus and assistances	T	<u> </u>	
Extra-oral equipment			
Location (room)			Mandatory
Local Rules readily available			
Suitable location of exposure		l	
switch			
Light/audible warning			
Isolation switch			

Part F2: Resuscitation

	Yes/	No/	Ref	Observations
	Satisfactory	Unsatisfactory		
First aid kit			FA	Mandatory
Face mask (Laerdal)				Mandatory
Ambu-bag			-	
Airway				
Portable oxygen with face mask (30 minutes supply minimum)			G	
Portable Suction				
Automatic external defibrillator				
Drugs:				Recommended
Emergency drugs held in accordance with local requirements (see DRO				
Resource)			GP	
Drugs in date				Mandatory
System to replace expired drugs				Recommended
Security of drugs and prescription pads			DPF	Recommended
Administration of controlled drugs			MD	Mandatory

Part F3: Decontamination of instruments in separate dedicated facility

If this is carried out in individual surgeries, go to Part G (Clinical Facilities)

Number of surgeries using this facility: _ _ _

Daily Maintenance Programme					
Log book(s) for recording parameters of each autoclave					
'Start of day' checks					
'End of day' checks (including					
emptying of water reservoir)					
Pre-sterilisation cleaning				Mandatory	
methods:	-		DH	•	
Automated					
Washer- disinfector					
2. Ultrasonic bath					
Manual cleaning					
Sterilisation:					
No. of Autoclaves:					
Type B Vacuum					
Type NPressure					
Type S					
Instrument storage including					
transport between surgeries			DH	Mandatan	
and decontamination room				Mandatory	

Part F4: Handling, storage and disposal of hazardous waste and offensive/ feminine hygiene waste

	Yes/	No/	Ref	Observations
	Satisfactory	Unsatisfactory		
Waste: contracts for disposal of and consignment notes for:				
a) Clinical (Hazardous) waste			OW	Mandatory
b) Sharps			CW	
c) Non-hazardous waste (transfer notes)				
Waste Storage in surgery:				
a) Hazardous (Clinical waste):				Mandatory
Yellow bags for infectious and other waste requiring incineration including anatomical waste.				
Orange bags for infectious and other waste requiring pretreatment and landfill (may be incinerated).			CW	
Sharps:			CVV	
fully discharged and partially discharged LA cartridges in yellow top sharps container				
Amalgam-white container with suitable suppressant				
X-ray processing chemicals and foils				

Unused medicines		
b) Non-hazardous		Mandatory
Domestic waste		
Offensive/ feminine hygiene	CW	
(tiger stripes)		
Extracted teeth		Mandatory
With amalgam (hazardous)		
No amalgam (sharps yellow	CW	
top)		
Waste awaiting disposal		Mandatory
Internal (non-surgery trade		
waste)	CW	
Black bags		
External	CVV	
	CW	
Amalgam separation / disposal	CW	
Storage of dangerous		Mandatory
materials:		
Gas cylinders	CW	
Chemicals		

Part G: Clinical Facilities

Surgery: No.... (Insert additional forms as necessary)

Primary User (Dentist, Hygienist).....

	Yes/	No/	Ref	Observations
	Satisfactory	Unsatisfactory		
	Satisfactory	Ulisatisfactory		
_				
Equipment:				
Operating light				Recommended
Chair				
Aspiration			GP	
Dental Unit				
Cabinetry				
Work surfaces				
Water Supply isolation			WS F	Mandatory
Intra-oral x-ray:				Mandatory
Location in surgery				
Local rules available				
Exposure switch position				
Light / audible warning				
Isolation switch				
Film holding/beam aiming device				
Protective lead screening				
Small equipment:				Recommended
Curing light			GP	
Amalgam mixer				
Laser If present on premises			cs	Recommended
			Α	D
Camera			D	Recommended
			Dw SI	
Instruments: incl. storage				Recommended
Hand instruments				
Surgical instruments			GP	
LA syringes			1	
Ortho: brackets, bands,			D	Recommended
archwires, headgear etc.			Dw SI	
Handpieces:			<u> </u>	Recommended
(sufficient number / storage) Turbines				

Contra-angles		
Straight	GP	
Ultrasonic scaler & tips		
Disposable items: single use		
Gloves (no powdered latex)		
Masks		Mandatory
Patient bibs	DH	
LA cartridges		
Needles		
Endodontic Instruments		
Impression trays		
Matrix bands	DH	Recommended

Part G: Clinical Facilities (ctd)

	Yes/	No/	Ref	Observations	
	Satisfactory	Unsatisfactory			
Disposable items: single use (ctd)				Recommended	
Suction tips			DH		
3 in 1 syringe tips					
Protection:				Mandatory	
Eye protection				-	
Patient					
			PPE		
Staff (single use)				Mandatory	
Other:				Mandatory	
Heavy duty gloves					
Needle re-sheathing device			GP	Recommended	
Decontamination Process: If carried out in individual surgeries					
(If external to surgery, in dedicate		ition room: see S	Section	F3)	
Daily Maintenance Programme					
Log book(s) for recording					
parameters of each autoclave					
'Start of day' checks					
'End of day' checks including					
emptying of water reservoir					
Pre-sterilisation cleaning				Mandatory	
methods:				,	
Automated			DH		
Washer- disinfector Washer- disinfector					
2. Ultrasonic bath					
3. Manual cleaning					
Sterilisation:					
Autoclave type;					
Type B Vacuum					
Type N Pressure Type S					

Clinical Waste:		Mandatory
Sharps container	CW	
Bins		
General conditions:		Mandatory
Floor covering: non-porous and sealed		
State of decoration	١,,,	
State of cleanliness	W	
Adequacy of lighting		
Ventilation		
Tidiness of electrical wiring	E	Mandatory

Glossary

Ref. Guideline Reference/Legislation Req. Requirement Adv.

ACoP Approved Code of Practice

B British Dental Association guidelines

C Control of Substances Hazardous to Health Regulations 2002

CC Consumer Credit Act1974

SG Working Together to Safeguard Children 2015

CSA Care Standards Act 2000

CW Carriage of Dangerous Goods (Classification,

Packaging and Labelling) and Use of Transportable Pressure Receptacles Regulations 1996 Environmental Protection

(Duty of Care) Regulations 1991

Hazardous Waste (England/Wales) Regulations 2005 Safe Management of Healthcare Waste (HTM 07-01, 2006)

EA Equality Act 2010

DH Department of Health – Health Service Guidelines/ HTM01-05 2008

DP General Data Protection Regulation 2018

DPF Dental Practitioner's Formulary

DSE Health and Safety (Display Screen Equipment) Regulations 1992

DTI Department of Trade and Industry – employment legislation

Documents

DwSI Dentist with Special Interests Guidelines DoH April 2006

E Electricity at Work Regulations 1989

FA Health and Safety (First Aid) Regulations 1981

FIA Freedom of Information Act 2000

FP Fire Precautions (Workplace) Regulations 1997

The Regulatory Reform (Fire Safety) Order 2005

G General Dental Council – "Standards for dental professionals"

GP Good Practice (recognised)

GDS Regs The National Health Service (General Dental Services Contracts)

Regulations 2005

Statutory Instrument 2005 No.3361

The National Health Service (Personal Dental Services

Agreements) Regulations 2005 Statutory Instrument 2005 No.3373

GS Gas Safety (installation and use) Regulations 1998

Health and Safety at Work etc Act 1974

I Ionising Radiation Regulations 1999 (IRR99)

Ionising Radiation (Medical Exposure) Regulations 2000 (IR(ME)R2000)

ITT Invitation to Tender

M Management of Health and Safety at Work Regulations 1999
MD Misuse of Drugs (Safe Custody) (Amendment) Regulations 2001

MDR Medical Devices Regulations 2002 MHO Manual Handling Regulations 1992

OSPRA Offices, Shops & Railway Premises Act 1963

P Performing Rights Society

PIDA Public Interest Disclosures Act 1998

PPE Personal Protective Equipment at Work Regulations 1992

PQQ Pre Qualifying Questionnaire

PV Pressure Systems and Transportable Gas Container

Regulations 1989 Pressure Systems Safety Regulations 2000

R Reporting of Injuries, Diseases and Dangerous Occurrences

Regulations 1995 (RIDDOR)

S The Smoke-Free (Premises and Enforcement) Regulations 2006

W Workplace (Health, Safety and Welfare) Regulations 1992
WE Provision and Use of Work Equipment Regulations 1992

WSF Water Supply Fittings Regulations